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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

VON AMMON ET AL.

Group Art Unit: 2823

Examiner: Jarrett J. Stark

Serial No.: 10/809,070

Filed: March 25, 2004

For: METHOD AND DEVICE FOR THE PRODUCTION OF A  
SILICON SINGLE CRYSTAL, SILICON SINGLE CRYSTAL,  
AND SILICON SEMICONDUCTOR WAFERS WITH  
DETERMINED DEFECT DISTRIBUTIONS

Attorney Docket No.: WSAG 0143 PUS

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
AND CERTIFICATION UNDER 37 C.F.R. § 1.97(c) AND (e)(1)**

Mail Stop Amendment  
Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Form PTO/SB08A are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(c), i.e., after the mailing date of a first Office Action on the merits but before the mailing of a final action or a notice of allowance.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)**

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

5/26/2006  
Date of Deposit

William G. Conger  
Name of Person Signing

[Signature]  
Signature

Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application on April 17, 2006, not more than three months prior to the filing of this Statement.

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

Accompanying the Information Disclosure Statement is the statement of Dr. Wolfgang Staudacher describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,  
VON AMMON ET AL.

By: 

William G. Conger  
Reg. No. 31,209  
Attorney for Applicant

Date: May 26, 2006

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*(Use as many sheets as necessary)*

Approved for use through 07/31/2006 OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**Complete if Known**

Application Number	10/809,070
Filing Date	03/25/2004
First Named Inventor	von Ammon et al.
Art Unit	2823
Examiner Name	
Attorney Docket Number	WSAG 0143 PUS

Sheet	1	of	1
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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**Information Disclosure Statement**

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir or Madam:

I, Wolfgang Staudacher, associated with the preparation and prosecution of the above-identified application, residing at Seebauerstraße 4, 81735 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in an Office Action of the Korean Intellectual Property Office and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

For KR 2000-005835, cited in an Office Action of the Korean Intellectual Property Office, the equivalent US 6,299,982 is cited, which is already in the English language.

Signed this 19<sup>th</sup> day of May, 2006.

  
Dr. Wolfgang Staudacher